# **The European Research Council**

### **ERC 2025 Proof of Concept**

#### **Line Fredslund Volkers**

Unit B.2. - Call & Project Follow-up Coordination

21st February 2025 NO NCP-ERC webinar



#### **European Research Council**

Established by the European Commission



# ERC supplementary funding for PIs



## **Proof of Concept**

Size of the grant: €150 000 (lump sum) Duration: 12 months Demonstrate that the idea funded by the original ERC grant has innovation potential and significant economic or societal benefits

- One continuous open call
- Two cut-off deadlines
- 30M EUR PoC call budget in 2025 (budget split btw the 2 cut-off deadlines)





## **European Innovation: financial support**

**European Research Council** 

erc

Established by the European Commission



"Bridging the Valley of Death: Transitioning from Public to Private Sector Financing, L. Murphy, P. Edwards" Published 2003

## EIC Transition: Proposals originating from ERC PoC



EIC Transition call	PoC proposals	PoC funded	Other proposals	Other funded	Total proposals	Total funded	% PoC proposals	% PoC funded
2021	104	25	127	17	231	42	45%	60%
2022_DL1	73	12	71	8	144	20	51%	60%
2022_DL2	91	16	92	18	183	34	50%	47%
2023_DL1	66	5	100	14	166	19	40%	26%
2023_DL2	100	13	157	14	257	27	39%	48%
2024	117	19	273	21	390	40	30%	48%
Total	551	90	820	92	1371	182	40%	49%



# PoC objectives and scope in Horizon Europe

- <u>Objective</u>: facilitating the exploration of the commercial and social innovation potential of ERC-funded research.
  - > Less of a focus on achieving economic or social impact as a direct result of the grant;
- <u>Scope enlarged</u>
  - to include further research activities needed to explore the innovation potential of the idea proposed;
- Evaluation based on the sole criterion of excellence, comprising:
  - Breakthrough innovation potential,
  - Approach and methodology,
  - Principal Investigator: strategic lead and project management
- <u>Data Management Plan now required</u> (within 6 months from project start).
- <u>Gender Equality Plan</u> required at the signature of the grant

## Which activities are funded?

The activities to be funded shall draw substantially on scientifically excellent ERC-funded research.

The funding will cover:

- activities aimed at exploring the pathway from ground-breaking research towards innovation
- activities at the very early stage of moving research ideas towards an innovation or socially valuable proposition
- this includes further research to translate and valourise research ideas to possible application, and also further development and testing to validate the idea in the lab



# Proof of Concept: Eligibility

- Eligible Principal Investigators have an ERC grant, which is either running or ends after 1 January 2024
- The PoC idea has to draw substantially on the ERC funded research
- Only one eligible application per Pl per year = per PoC call
- Maximum **3 PoC grants** per ERC StG, CoG or AdG grant (can run in parallel)
- Maximum 6 PoC grants per ERC SyG (with consent of all SyG PIs)
- The host institution can be different than for the main grant;
  Additional beneficaries are allowed as for ERC main grants
- Same rules regarding portability of the PoC grant as for main grants



# **Proof of Concept: Proposal**

10-page proposal (excluding references):

- 1. The idea breakthrough innovation potential (max. 3 pages)
- 2. Approach and Methodology (max. 6 pages)
- 3. Principal Investigator strategic lead and project management (max. 1 page)

**Online administrative submission form A** (similar to ERC main grant applications)

**Supplementary documents** (e.g., Host Institution Support letter: Letters of support or letters of intent)



https://www.youtube.com/watch?v=v\_WAkrKgWKs

Read more: https://erc.europa.eu/applygrant/proof-concept



## PoC - evaluation questions ERC WP 2025

1.a Breakthrough innovation potential

- Does the proposed idea have the potential to drive innovation and business inventiveness and/or tackle societal challenges?
   Section 1a.i
- Are the proposed expected outcomes innovative or distinctive compared to existing solutions?
  Section 1a.ii
- Is the proposed idea high risk-high gain?



- o If successful, will the outcome result in a breakthrough innovation?
- Is there a risk that some aspects are difficult to overcome?



## PoC - evaluation questions ERC WP 2025

#### 1.b Approach and methodology

- Are the proposed activities and planning appropriate and effective to explore the pathway from ground-breaking research towards innovation? Activities may include:
  - testing, experimenting, demonstrating and validating the idea;
  - conducting research required to carry out the above activities and to address the weaknesses uncovered by them;
  - clarifying IPR protection or knowledge transfer strategy;
  - involving industry partners, societal or cultural organisations, policymakers, or any other potential stakeholder supporting the translation of research results into innovation;
  - assessing potential "end users" of the expected innovation.

Sections 1b.i + ii

 Are the proposed timescales and resources adequate for the implementation and feasibility of the project, and properly justified? Will the activities be conducted by persons well qualified for the purpose?

## PoC - evaluation questions ERC WP 2025

1.c. Principal Investigator - strategic lead and project management

 Does the PI demonstrate a clear vision on how to organise the management of the project, the consolidation of information and data needed to take strategic decisions and implement the proposed plan, including risk and contingency measures?



Section 1c

# Proof of Concept: Evaluation procedure

#### Single step evaluation procedure (~100 days from submission to notification):

- Remote evaluation by 5 independent experts in technology transfer and social innovation
- Each evaluation element receives a comment and a mark: very good, good (pass) or fail
- No panel meeting discussion only individual reviews
- Rank listed proposals have to pass all evaluation elements (pass-mark by majority of experts)
- Ranked list established by the marks given by experts to evaluation elements 1, 2 and 3
- Highest weight is given to evaluation element 1 followed by element 2 and 3
- Highest ranked proposals within the budget for the evaluation round are main listed
- A short reserve list is created in case of granting failure among the main list projects



# Proof of Concept: Tips for applicants

- Be convincing about your involvement in the project PI lead and project management!
- Know your competitors and describe the competitive landscape!
- What is the novelty in your specific idea or application how is it different from other solutions?
- Who are your end-users? Who will benefit from your innovation when fully developed?
- In case of a commercially valuable innovation idea describe your market!
- In case of a socially valuable proposition what will be the expected impact of your project idea when fully developed?
- In case of a database or application development how will this be maintained beyond the project period?
- In case additional funding/investment is needed how will this be pursued? Through which erc channels?

## PoC changes since 2023 – Seal of Excellence

ERC Work Programme 2024

'A Seal of Excellence will be awarded to proposals that have received a pass mark in all three of the evaluation elements set out in this Work Programme, but cannot be funded due to lack of budget available to the call.'

The Seal of Excellence will be provided automatically to the applicants when they receive the evaluation outcome in the F & T Portal (with the rejection letter). To be eligible for a Seal of Excellence you must give consent to sharing some basic information about your application with other funding and support organisations.

Guidance from RTD will be provided as to where the applicants can search for funding of their project from other sources.

Information on funding bodies that recognise and support Seal of Excellence projects is available at: <a href="https://research-and-innovation.ec.europa.eu/funding/funding-opportunities/seal-excellence\_en">https://research-and-innovation.ec.europa.eu/funding/funding-opportunities/seal-excellence\_en</a>



# Proof of Concept Statistics

# POC 2024 submitted and funded proposals by domain and call type



## POC 2024 Funded proposals by call of original grant



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## POC 2024 Funded proposals DL1 and DL2 Panel of original grant



## POC 2024 funded proposals By Host country



## **POC 2024 funded proposals** Success rate by host country



## Proof of Concept: Funded projects 2011-2024

(signed by 21/02/2025)



## Proof of Concept: Funded NO projects 2011-2024



## Proof of Concept: Funded NO projects 2011-2024



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## Proof of Concept: Calendar for 2025

ERC calls	Call Opening	Submission Deadline		
Starting Grants ERC-2025-StG	10/07/2024	15/10/2024		
Consolidator Grants ERC-2025-CoG	26/09/2024	14/01/2025		
Advanced Grants ERC-2025-AdG	22/05/2025	28/08/2025		
Proof of Concept ERC-2025-PoC	13/11/2024	13/03/2025 18/09/2025		
Synergy Grants ERC-2025-SyG	11/07/2024	06/11/2024		



## ERC PoC results on the ERC website

Press releases: ERC PoC 2023 & ERC PoC 2024

Project examples: <u>ERC-2023-PoC</u> & <u>ERC-2024-PoC</u>

ERC searchable project database – PoCs searchable by panel of original grant

Other support services:



Horizon Results Booster

EIC Tech 2 Market: <u>Tech to Market Programme (T2M) - European Commission</u> erc (europa.eu)



Contact the ERC Proof of Concept team on ERC-POC-APPLICANTS@ec.europa.eu

More information: <u>erc.europa.eu/apply-grant/proof-concept</u> National Contact Point: <u>erc.europa.eu/national-contact-points</u> Sign up for news alerts: <u>erc.europa.eu/keep-updated-erc</u>

Funding & Tender Opportunities: https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/home



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