

Evaluation of Life Sciences 2022-2024

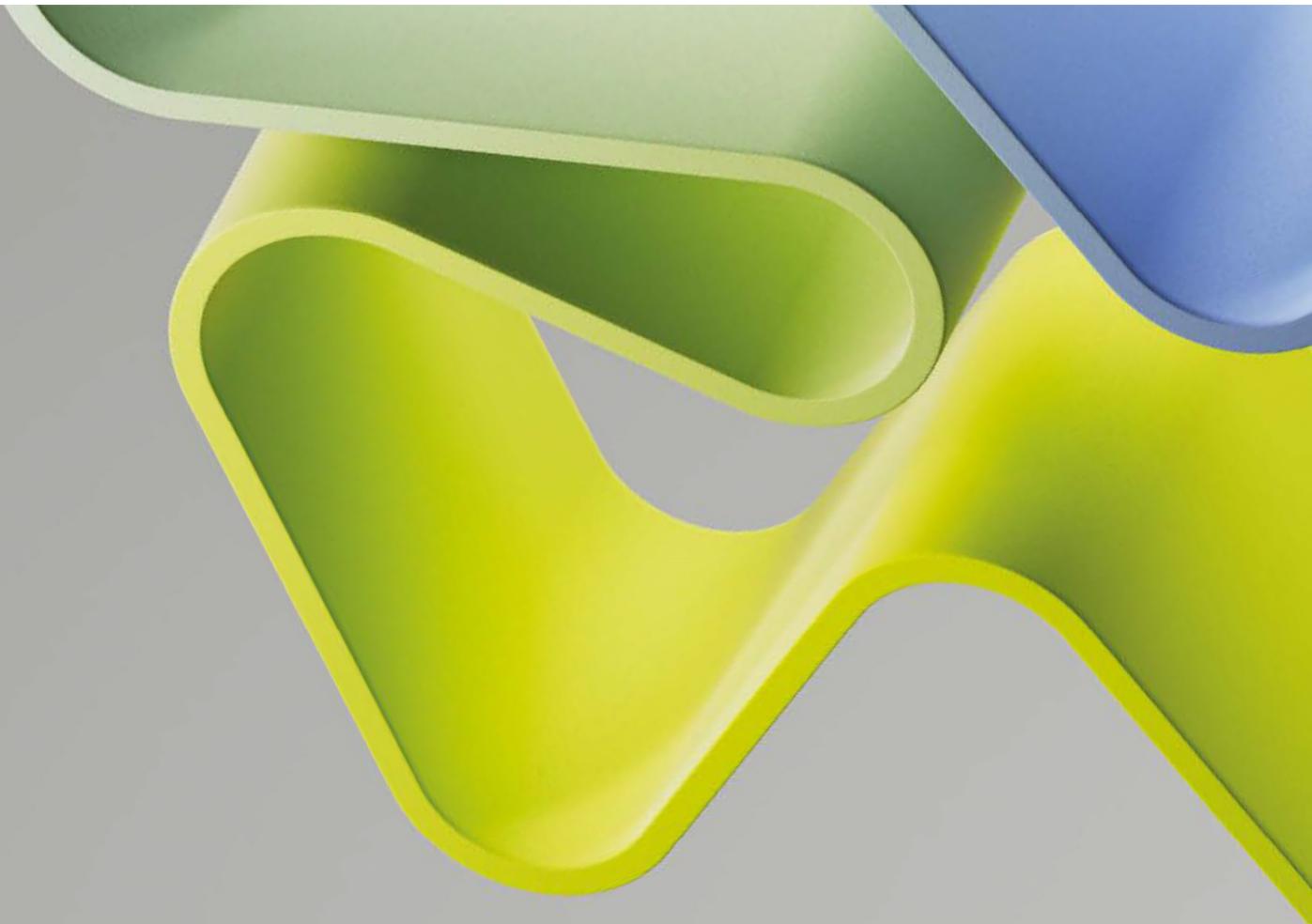
Evaluation of Biosciences 2022-2023

Evaluation report

Department of Biological Sciences (BIO)

University of Bergen (UiB)

December 2023



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Statement from Evaluation Committee 2

This report is from Evaluation Committee 2 which evaluated the following administrative units representing the higher education sector in the Evaluation of Biosciences 2022-2023:

- Faculty of Bioscience (BIOVIT), Norwegian University of Life Sciences (NMBU)
- Faculty of Chemistry, Biotechnology and Food Science (KBM), NMBU
- Faculty of Biosciences and Aquaculture (FBA), Nord University (Nord)
- Department of Biotechnology and Food Science (IBT), Norwegian University of Science and Technology (NTNU)
- Computational Biology Administrative unit (CBU), University of Bergen (UiB)
- Department of biological sciences (BIO), UiB
- Department of Biosciences (IBV), University of Oslo (UiO)
- Department of Chemistry, Bioscience and Environmental Engineering, University of Stavanger (UiS)
- Faculty of Biosciences, Fisheries and Economics (BFE), University of Tromsø – The Arctic University of Norway (UiT)

The conclusions and recommendations in this report are based on information from the administrative units (self-assessment), digital meetings with representatives from the administrative units, bibliometric analysis and personnel statistics from the Nordic Institute for Studies of Innovation, Research, and Education (NIFU) and Statistics Norway (SSB), and selected data from Studiebarometeret and the National Teacher Survey (Norwegian Agency for Quality Assurance in Education [NOKUT]). The digital interviews took place in Autumn 2023.

This report is the consensus view from committee 2. All members of the committee have agreed with the assessments, conclusions and recommendations presented here.

Evaluation committee 2 consisted of the following members:

Professor/Dean
Ivo Sbalzarini (chair),
TUD Dresden University of Technology
& Max Planck Institute of Molecular
Cell Biology and Genetics

Professor
Caroline Austin,
Newcastle University

Professor/Pro-Dean
Ade Whitehouse,
University of Leeds

Professor/Deputy Dean
Lena Mäler,
Stockholm University

EM. Professor/Director
Nico P.E. Vermeulen,
Vrije Universiteit Amsterdam

EM. Professor/Director
Lene Lange,
Technical University Denmark

Adjunct Professor, dr.
Pikka Jokelainen,
Statens Serum Institut

Dr Anoushka Davé, Principal Consultant, Technopolis Group, was the committee secretary.

Oslo, December 2023

Profile of administrative unit

In 2021, the Department of Biological Sciences (BIO) had a total of 287 employees out of which 50 were professors, 14 associate professors, 36 researchers, 37 postdocs, 64 PhD students and 75 technical and administrative personnel. The majority of staff were women in all categories except professors (33%) and associate professors (36%).

BIO is comprised of about 18 research groups, a selection of which were assessed by expert panels: terrestrial ecology, fish health, fjord and coastal ecology, environmental and aquaculture biology, microbiology, theoretical ecology and molecular biology.

The strategic plan for BIO 2022-2026 is based on three pillars: basic research for molecular and biological understanding; the sea and the coast – our marine proximity and environment and global changes – sustainability; and societal challenges. According to the self-assessment, this is underpinned by several reasons. Firstly, basic research is important to BIO and the administrative unit aims to contribute to new knowledge in areas that help shape the understanding of molecular and biological processes. Secondly, Norway's sea, fjord and coastal areas and the ecosystem services harvested from these are the basis for Norway's national prosperity. Knowledge of these marine systems is necessary to ensure sustainable management and use for future generations. Thirdly, in a time where the consequences of man-made climate and environmental changes are seriously beginning to manifest themselves, according to BIO biological research is central to understanding what is happening, the processes and reasons behind these changes, as well as the possible solutions and options for action in the future.

As a higher education institution (HEI), BIO strives to follow the four overall goals for HEIs that receive public funding: high quality in research and education; research and education for welfare, value creation and innovation; access to education; and efficiency, diversity, and solidity of the higher education sector and research system. In relation to this, BIO's self-assessment mentions that BIO delivers on its broad societal mission in five respects. First, BIO is at the forefront of basic biological research and education within several subdisciplines, thereby contributing to the development of the conceptual, methodological, technological and social foundations of the biological sciences. Second, BIO develops scientific knowledge, competence and a skilled workforce to spearhead sustainability, effectiveness and economy in various fields. Third, BIO contributes to biodiversity conservation and environmental protection through, for example, developing new theoretical approaches, technologies and methodologies. Fourth, BIO is active in science synthesis and the science-policy interface, for example through acting as experts and contributing to methodological development for among others the Norwegian Biodiversity Facility and the Norwegian Environmental Agency. Fifth, BIO delivers the knowledge and skills society needs through quality education in biological sciences, fish health and aquaculture, and engineering that enables its students to contribute to society's needs through advancing the biological sciences.

Based on its self-assessment, in the future BIO might take advantage of its large department, which covers a wide range of fields within biology and molecular biology, and scientific knowledge becoming more attractive due to increased knowledge demands within the administrative unit's fields.

Overall assessment

The University of Bergen's Department of Biological Sciences (UiB-BIO) is a large department that has a broad research profile, from basic research to applied research. The overall assessment considering the Terms of Reference provided by the Unit is that the research is of very good to outstanding quality, internationally visible and highly acknowledged. The extensive research collaborations both in Norway and internationally are exemplary. There is also a strong focus on development and quality of teaching, facilitated by a Centre for Excellence in Biology Education, bioCEED.

Strong leadership with a clear and strategic approach to challenges and opportunities is evident. Importantly, and despite foreseen staff number reductions, the administrative unit has the needed critical mass of professionals, also in administration, to address challenges in a bold, proactive manner. Awareness of current and future resources and competences, as well as challenges and needs, is very mature. Data on diversity aspects are available and reflected on. Overall gender balance is good in several of the staff groups.

The overall organisational structure is suitable and well-functioning, although a bit traditional, and the structures could be further developed to better support cross-disciplinarity. There is good access to infrastructure but investing in new infrastructure is challenging; there is a high awareness of new developments in the field. Open Science is well implemented. The work conducted by BIO contributes actively, substantially and strategically to the priorities of the university and has high societal relevance and impact.

Worth a special positive mention is the way challenges, such as the financial situation, are seen by the administrative unit as opportunities. The large size, diverse research portfolio, professionalism and strategic approach are key assets for the future, and the administrative unit has what it takes to succeed in meeting its strategic targets for research and goals for societal impact.

Recommendations

The evaluation committee wishes to extend the following recommendations to the administrative unit, which are constructive suggestions from an outside view on the basis of the information available to the committee and considering the aspects on which recommendations were requested in the terms of reference.

- BIO is performing very well and has the organisational environment, critical mass of professionals and strategic approach to continue to do so. Maintaining the exemplary attitude towards challenges, that is seeing them as opportunities, will likely prove a key asset.
- For addressing the foreseen decrease in staff numbers and the challenging financial situation, succession planning and supporting interaction and integration across the groups, as well as actively identifying synergies internally and in the numerous collaborations are advised. This is important for both financial management and maintaining the well-functioning research environment. The active focus on efforts to improve efficiency of teaching to ensure time for research is excellent.
- Thus far the scientific profile of BIO has been largely formed by its history and the need for competences for teaching the curriculum. Establishing a formal international Scientific Advisory Board could help provide useful advice for developing and shaping a cohesive, yet still diverse, research profile and for working towards more cross-disciplinarity considering the future landscape of the key research fields and societal needs. It will be important to identify future research areas that can build bridges across the current research groups and themes, both conceptually and methodologically.
- Securing resources is a priority area. A structured and strategic approach to obtaining more international research funding from diverse sources is encouraged. Support functions and ensuring time for grant proposal writing are important, and applying for collaborative funding with existing and new collaborators is especially encouraged.
- The contributions of BIO to impactful committees and panels are a special highlight. Indeed, BIO could formulate even higher ambitions in terms of societal contributions and impact, at global level. Continuing and expanding collaborations will support wider societal impact at different levels. For some research groups, their isolated location limits societal involvement at the local level, but the growing societal interest in key research areas of BIO could be an opportunity to promote the work on both national and international levels and to engage with a wider range of stakeholders.

1. Strategy, resources and organisation of research

BIO conducts impactful research of high quality, and the research environment is excellent. The research profile of BIO is strong and diverse, and this is a competitive advantage.

Thus far the scientific profile of BIO has been largely formed by its history and the need for competences for teaching the curriculum. It will be important to identify future research areas that can build bridges across the current research groups and themes, both conceptually and methodologically.

While a reduction of staff numbers is foreseen, the concrete plans to increase time staff will have for research by making teaching more efficient, as highlighted in the interview, will be important.

1.1 Research Strategy

The research profile of BIO is strong and diverse, and this is a competitive advantage. The scientific profile of BIO has been largely shaped by its history and the need for competences for teaching the curriculum, and going forward, shaping a cohesive while still diverse research profile will be needed.

The strategic plan for BIO 2022-2026 is based on three pillars. BIO has a long tradition to contribute with new knowledge to the first pillar – basic research for molecular and biological understanding. The second pillar focussing on the sea and the coast and marine proximity is important for Norway. Knowledge about marine systems is necessary to enable their sustainable management and use for future generations, and BIO aims to strengthen its efforts in the marine field. The third pillar focusses on environment and global changes, sustainability and societal challenges. Biological research is central to understanding the processes, their drivers and possible solutions for addressing the challenges.

The strategic plan is well aligned with the strategy and action plans of the university, governmental strategic plans for research, as well as priorities of funding programmes both in Norway and internationally. Open Science policy is well implemented.

1.2 Organisation of research

BIO is comprised of about 18 research groups, a selection of which were assessed by expert panels: terrestrial ecology, fish health, fjord and coastal ecology, environmental and aquaculture biology, microbiology, theoretical ecology and molecular biology. The size of the research groups varies, as does their organisational activity (e.g. weekly meetings). Merging of research groups with similar scientific scope has taken place. The adequateness of the organisational environment in supporting production of excellent research in the research groups assessed was generally scored high by the expert panels.

The research profile of BIO is wide and diverse. The head of the department and the deputy head of the department lead research at the department level, and each research group has a leader. There is no external Scientific Advisory Board.

1.3 Research funding

Core funding from the Norwegian government is the main funding source of BIO. About half of the core funding is allocated to research. External funding sources include the Research Council of Norway (RCN), EU, private funding sources and governmental funding sources. More than 90% of the external funding is allocated to research costs. The ratio of external funding to governmental funding is 40:60 and has been stable in recent years. By international comparison, this is a relatively low ratio of external funds, which presents an opportunity for growth and risk mitigation in the future.

BIO submits about 185-200 funding applications per year, and the overall success rate has been around 20%. BIO considers its activity and success towards obtaining international funding (e.g. EU/European Research Council, ERC) too low, and the success rates and volume of external grants have decreased slightly during the last few years. There is large variation in volume of external funding captured by staff members, groups and scientific areas in BIO. The administrative unit is advised to consider measures of internal evaluation and incentives to ensure all groups contribute.

1.4 Use of infrastructures

The research within BIO is very broad from molecular structure, sequencing analysis, cell and organism biology to field studies of marine (deep ocean, coastal and fjord areas) and terrestrial habitats (from coastal regions to alpine) where designated databases are used together with numeric infrastructure for modelling. This broad research profile is possible due to substantial use of national and international infrastructures. Access to relevant infrastructure is good and includes laboratories, research vessels, research stations and animal facilities. BIO is also a partner in a number of national and international research infrastructures, including the Global Biodiversity Information Facility (GBIF), Svalbard Integrated Arctic Earth Observing System (SIOS), the Norwegian Earth System modelling (INES), the Norwegian Marine Data Centre (NMDC), the Norwegian node of the European Marine Biological Resource Centre (EMBRC) and the European Multidisciplinary Seafloor and water column Observatory (EMSO ERIC). For molecular analysis, the Norwegian national platforms for nuclear magnetic resonance (NMR) (NNP) and for mass spectrometry (NAPI) are important. The EU life science data and bioinformatics platform ELIXIR is used to manage and analyse large amounts of data generated by research at the administrative unit. Currently, a major challenge is to maintain and repair equipment and use all infrastructures fully due to financial constraints. Also, investing in new infrastructure is challenging. In particular, no upgrade of the Marine Biological Station (MBS) is starting to limit research on some topics.

1.5 National and international collaboration

BIO is exemplary in collaborations at different levels, from collaborations within the university and with other universities and research institutes, such as Norwegian Research Centre (NORCE), to international collaborations. There is a very strong commitment and an active strategy towards regional, national and international collaborations, and extensive collaboration with industry, particularly in aquaculture.

Collaborations are actively encouraged by the leadership of BIO and their value is acknowledged. In particular, collaboration is considered highly important to be able to contribute to societal challenges. Moreover, the collaborations are acknowledged as contributing to competence building as well as future research collaborations and related funding opportunities both nationally and internationally. Collaborations also provide new perspectives and ideas. BIO serves often as a hub across collaborative partners in larger initiatives, manifesting their central role.

The research outputs of the administrative unit convincingly exemplify the impact and outreach of collaborative research. Using co-authorship as a measure of collaboration, 74.3% of the publications from BIO in 2021 had international co-authors and 51.4% had national co-authors. Between 2019 and 2021, the top 3 co-authoring international institutions, were Københavns Universitet in Denmark, Aarhus Universitet in Denmark and Universität Bayreuth in Germany.

1.6 Research staff

In 2021, BIO had a total of 287 employees out of which 50 were professors, 14 associate professors, 36 researchers, 37 postdocs, 64 PhD students and 75 technical and administrative personnel. The majority of staff were women in all categories except professors (33%) and associate professors

(36%). Age distribution varies by research group, but overall, the scientific staff is relatively young. Some research areas with more senior staff currently may have reduced activity going forward as replacements are not recruited.

There is focus on early-career scientists. Many master's theses are published in international peer-reviewed journals and almost all PhD theses are published as peer-reviewed papers. This is excellent. Master's and bachelor's students have good opportunities to become involved in research. The curriculum at the bachelor's level offers many courses and initiatives where students can be involved in on-going research projects, and a substantial part of teaching is directed towards giving students specific competences and practical skills in research, for example during lab, field and cruise courses.

The head of the department is involved in following up on the scientific achievements of PhD candidates and postdocs and works together with them to develop a scientific career plan. Early-career staff members are encouraged to apply for external funding for supporting their career and to develop independence in collaboration and dialogue with their supervisor. This is excellent and should be continued.

On average, professors and associate professors have about 50% of their work time available for research. Those that have a large portfolio of externally funded projects or other duties have fewer teaching duties, which is a great model. All staff members can get a research leave. Commitment to teaching may, however, be a reason for some staff members not using this opportunity. Staff mobility is dependent on external funding and may also be practically limited by teaching duties.

2. Research production, quality and integrity

The research groups of the administrative unit were evaluated by expert panels and their assessments and performance scores are reproduced below after a spelling and language check. The quality of research conducted at BIO is very high to outstanding. The research is internationally recognised, and outputs include high-impact papers and participation in visible international competitions, like the International Genetically Engineered Machine Competition (iGEM). Open Science is well implemented, and its value is acknowledged. The research quality dimension of the assessed research groups was evaluated to be between very good to excellent by the expert panels, with most groups scoring 4 or 5 and only one group scoring 3.

The administrative unit has a strong culture for publishing in peer-reviewed journals with high scientific standard and for following the ethical guidelines of the university and publishers. In collaborations with industry and other stakeholders, integrity and publication plans are specified in research contracts. For reporting and following up violations of integrity, BIO relies on the guidelines and systems of the university.

2.1 Research quality and integrity

Environmental and aquaculture biology (EAB) research group – overall assessment by expert panel 4a

The group is viewed as being very strong scientifically, with a critical mass of principal investigators (PIs, 9 in total) with well-defined research areas. This number of PIs provides some resilience to the group in the event of potential staff losses, hopefully without having a major detrimental impact on the overall administrative unit's success to date. The research income from the group is very good, involving a variety of national and international sources, while also including funding from industry. Research outputs involving research papers are very good with these publications being in well recognised journals. There are also outputs in terms of reports. There is poor gender balance at the PI level with 9 male PIs, which may be a consideration for future recruitment. The societal impact of the work relating to improvements in fish welfare and performance in aquaculture while evident due

to the nature of the work, the societal aspects in relation to the work being undertaken by the group were not presented in sufficient detail in the assessment.

Fish Health Group (FHG) – overall assessment by expert panel 3

The panel considers that FHG covers broad aspects of fish health, especially considering the small size of this group. The quality of the research is high, and its societal implications are important to the Norwegian economy. The FHG's organisation is thematically meaningful, but somewhat unbalanced since the Sea Lice Research Centre (SLRC) holds most of the funding and infrastructure, while the other 2 groups (Fish Diseases Research Group, FDRG and Fish Immunology Group, FIG) are in charge of more applied reports to industry, with smaller international recognition. The FHG contributes to UiB's strategic goal on marine research and to teaching, notably on aquaculture. The panel also appreciates FHG's involvement in training on ethics, both in animal experimentation and in scientific collaboration with industry.

Fjord and Coastal Ecology research group – overall assessment by expert panel 2

The UiB Fjord and Coastal Ecology Group is an excellent group leading research at an outstanding level. The main areas of research of the group cover the dynamics of fjords under global changes and the study of evolutionary implications of the exploitation of fish over generations. They also published important outputs on the role of viruses in the response of kelp forests to climate change. The UiB Fjord and Coastal Ecology Group has a strategic infrastructure, which can position the University of Bergen and Norway at a competitive, international level. The isolated location brings constraints to their societal involvement, but an effort has been made to develop interesting outreach products, that should be developed in the future.

Microbiology research group – overall assessment by expert panel 3

The group structure seems to be adequate to guarantee its effectiveness; however, their description of such a complex organisation is too short to really appreciate it. They are strongly recognised internationally for their expertise in phytoplankton. They have highly relevant international collaborations that lead to high impact publications, although they are not the main contributors. Not being part of a museum, they are proactive in trying to reach the society. Yet, they need to engage industrial partners to take advantage of the potential applications of their research.

Molecular Biology research group – overall assessment by expert panel 4b

The group has delivered a diverse research output, with some notable publications, as well as examples of new software/methods contributions. Some of their research also contributes to building capacity in marine research: which is one of the strategic goals of the University of Bergen. Their governance and scientific strategy were somewhat ill-defined; developing these further should maximise cohesion across groups and allow the group to leverage their interdisciplinarity. Whilst the group appears to benefit from state-of-the-art facilities and local collaborations, their ability to deliver appears to be limited by substantial teaching commitments and limited financial support. The group expresses a laudable interest in global health challenges and sustainability; in future, the group is potentially well placed to make significant contributions in this space. This will, however, require a coherent strategy going forward that leverages experimental strengths and better links them with available partners/infrastructures.

Terrestrial Ecology Research Group (TERG) – overall assessment by expert panel 1

The panel recognises TERG as a very important research group at the national level in Norway. The group would benefit from a slightly more detailed strategy that targets greater societal engagement and stakeholder involvement in the other areas where it is strong, beyond its strengths in Western Norway. The examples they have provided in their self-assessment of linked social-ecological projects involving stakeholders in Norway, Germany and Africa are already impressive. The group has developed excellent international linkages that could probably leverage even more mobility and diverse mentoring for early career scholars than what the panel found evidence for in the self-assessment. That said, the group does take a leading role in the solid research and publications listed as outputs. The panel felt the group could aim even higher with its large number of senior scholars relative to early career researchers.

Theoretical Ecology Group (TEG) – overall assessment by expert panel 3

TEG champions intellectual engagement, difficult problems and multidisciplinary over short-term / short-lived high impact research. Yet their work seems deep and thoughtful and has the possibility to shape future research directions, as their models are good at highlighting unusual effects and produce testable hypotheses. The history of the group looms large, and it is unclear how the current faculty will establish a legacy. The group seems too parochial and lacks the drive/ambition/direction to establish the group more centrally within the UiB and the national research agenda in the marine sciences. Without clearer strategic objectives and tactical approaches, this could make the importance of TEG somewhat pedestrian.

2.2. Open Science

BIO clearly has a good understanding of different aspects of Open Science. Improvement has been observed and different facilitators and drivers for it have been identified. Active training efforts are in place.

Open Science is well implemented at BIO, and guidelines and regulations of the university as well as specific requirements of projects and their funding are followed. Most funders, e.g. RCN or Horizon Europe, and publishers require that the research data from a project is made openly available. The use of open-source software/tools (such as R) has become more common.

Publishing in open-access journals has been boosted by the open publishing policy of the university and open-access funding, which is specifically supported by open access publishing agreements between the University of Bergen and several publishers. In 2021 90.9% of the publications were open access with 40.1% gold open access and 50.8% green open access. This is a massive improvement compared to 2018 when only 52.8% of publications were open access.

Open access to research data and FAIR data principles (Findable, Accessible, Interoperable, and Reusable) have been implemented at BIO as part of its publishing policy, by choosing open access journals and publishers that require that datasets are published. Improvement has also been driven by the requirement of RCN to have a data plan as part of each project description. Sharing and re-using quality-assured research data is considered good scientific practice.

Moreover, the inclusion of stakeholders as user groups is reportedly becoming common in many research projects. This has improved contact with society and collaboration outside the classical research community, which is a notable achievement.

Regular courses on topics covering the whole data life cycle with a specific focus on the implementation of the FAIR principles are given. The bioCEED cluster offers open-access educational resources both in programming and statistics.

3. Diversity and equality

Data on diversity aspects are both available and reflected on at BIO. Overall gender balance is good in several staff groups. Age and gender balance at the research group level is noted and related challenges are acknowledged, while opportunities to address them are limited in the current financial situation.

BIO reports having a well-developed system of safety representatives who can be approached by those who experience any form of discrimination. In addition, the Head of Department and the Head of Administration both have an open-door policy where staff and students are free to approach if they experience any form of discriminative behaviour. The department has a local Health, Safety and Environment (HSE) action plan, as well as a local action plan for equality, gender balance and diversity.

The university has a system and an easily accessible web page where staff and students can anonymously address any unwanted discriminatory behaviour. BIO and the university have a clear policy that any form of discriminative behaviour should be immediately dealt with and taken seriously.

4. Relevance to institutional and sectorial purposes

BIO has a mature understanding of the relevance of biological sciences in a very broad sense, from institutional to sectorial and further to greater societal purposes. There is focus on delivering on the broad societal mission. Basic biological research and education contribute to the foundations of the scientific field and knowledge base, and development of new approaches and methodologies as well as science synthesis and science policy work are a major contribution. BIO is represented by experts in important committees and panels. Education in biological sciences and workforce capacity and competence building are key contributions. Of special mention is how students are introduced to research by involving them in research projects and having a culture of publishing results of master's theses as scientific articles.

BIO is active in terms of innovation and commercialisation and provides several examples of successes. There is high potential for value creation, in particular within aquaculture. The leadership encourages staff to engage in innovation and commercialisation, and BIO collaborates with a technology transfer office.

5. Relevance to society

BIO contributes extensively to several of the goals and priorities in the Long-term plan (LTP) and UN Sustainable Development Goals (SDGs). For example, their internationally leading research and education activities in marine biology and aquaculture are key contributions to innovation, competence, and sustainable aquaculture, while actively promoting the safeguarding of biodiversity

and environmental and societal sustainability. BIO has produced impactful contributions to science, policy and education of relevance to the global ecosystem crises, with national implications in Norway and global relevance.

BIO has potential to be even more ambitious in its societal contributions and showcasing them. The mature reflection that is evident across aspects is a strength in this: in identifying the goals and priorities to contribute to and balancing between the positive impacts while considering possible negative impacts.

Comments on impact case 1

Development of sustainable aquaculture is of very high importance both in Norway and globally. BIO is a trailblazer in contributing to this, being the first to establish master's and PhD programmes in aquaculture. The research done at BIO, from basic to applied science, is highly and directly relevant to several aspects of aquaculture. Diversity of research in this field, including focus on several fish species, is a strength. It is important to identify new areas, such as in the example mentioned, recirculating aquaculture systems.

Comments on impact case 2

Sea lice are a major challenge to aquaculture and fish welfare. Selecting this rather specific topic as a separate impact case is an excellent choice. Highlighting fish welfare in addition to reduction of treatment need as impact shows a balanced understanding of the problem.

To address the sea lice challenge, research-based innovative ideas have been successfully developed into commercialised tools.

Comments on impact case 3

The role of BIO in the science-policy interface related to biodiversity conservation and environmental impacts is reported as increasingly important. Contributions to key panels and committees both in Norway and globally are highlighted. This is really where impact can be achieved.

Appendices

List of research groups

Institution	Administrative unit	Research group
University of Bergen (UiB)	Department of biological sciences (BIO)	<i>Environmental and aquaculture biology (EAB)</i>
		<i>Fish health</i>
		<i>Fjord and Coastal Ecology Group</i>
		<i>Microbiology</i>
		<i>Molecular biology</i>
		<i>Terrestrial Ecology Research Group (TERG)</i>
		<i>Theoretical Ecology Group (TEG)</i>

Methods and limitations

Methods and limitations

Methods

The evaluation is based on documentary evidence and online interviews with the representatives of Administrative unit.

The documentary inputs to the evaluation were:

- Evaluation Protocol Evaluation of life sciences in Norway 2022-2023
- Administrative unit's Terms of Reference
- Administrative unit's self-assessment report
- Administrative unit's impact cases
- Administrative unit's research groups evaluation reports
- Panel reports from the Expert panels
- Bibliometric data (*NIFU Nordic Institute for Studies of innovation, research and education*)
- Personnel data (*Statistics Norway (SSB)*)
- Funding data – The Research Council's contribution to biosciences research (*RCN*)
- Extract from the Survey for academic staff and the Student Survey (*Norwegian Agency for Quality Assurance in Education (NOKUT)*)

After the document review, the Committee met and conducted an initial assessment against the assessment criteria and defined questions for the interview with the Administrative unit. The Committee shared the interview questions with the Administrative unit three weeks before the interview.

The Committee interviewed the Administrative unit in an hour-long virtual meeting to validate the Committee's understanding and refine perceptions as well as fill any gaps in understanding and evidence. The Administrative unit answered the Committee's questions including any follow-up questions.

After the online interview, the Committee held a meeting to review the initial assessment in light of the interview and draft a report based on their assessment of the Administrative unit against the assessment criteria.

A one-page profile of the Administrative unit was drafted based on information from the self-assessment. The Administrative unit had the opportunity to fact-check this profile. Thereafter, the profile was included in the final draft of the report.

The final draft was reviewed by committee members and any comments were addressed. After a final copy-edit, the final report was approved by the Committee.

Limitations

The Committee judged the information received through documentary inputs and the interview with the Administrative unit sufficient to complete the evaluation.

Evaluation of Biosciences 2022-2023

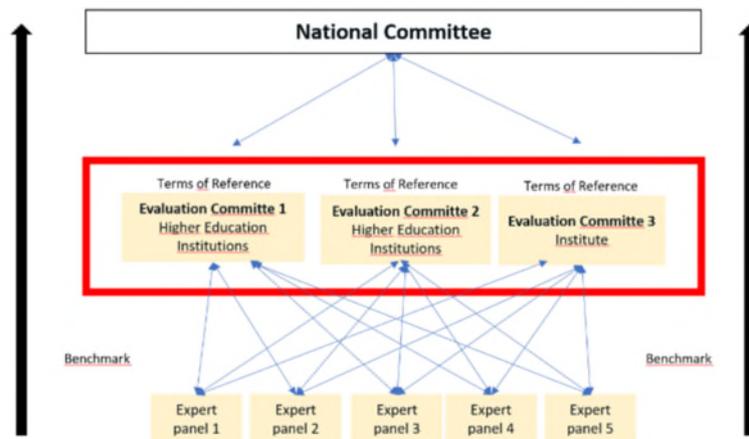
By evaluating Norwegian research and higher education we aim to enhance the quality, relevance, and efficiency. In accordance with the statutes of the Research Council of Norway (RCN), the RCN evaluates Norwegian professional environments to create a solid and up-to-date knowledge base about Norwegian research and higher education in an international perspective.

The evaluation of life sciences is conducted in 2022 - 2024. The evaluation of biosciences takes place in 2022 - 2023, and the evaluation of medicine and health is carried out in 2023-2024. The primary aim of the evaluation of life sciences is to reveal and confirm the quality and the relevance of research performed at Norwegian Higher Education Institutions (HEIs), the institute sector and the health trusts. The evaluation shall result in recommendations to the institutions, the RCN and the ministries.

Evaluation of biosciences (EVALBIOVIT) 2022-2023

The evaluation of biosciences includes twenty-two administrative units (e.g., faculty, department, institution) which are assessed by evaluation committees according to sectorial affiliation and/or other relevant similarities between the units. The administrative units enrolled their research groups (97) to five expert panels organised by research subjects or themes and assessed across institutions and sectors.

Organisation of evaluation of biosciences research 2022 - 2023



The institutions have been allowed to adapt the evaluation mandate (Terms of Reference) to their own strategic goals. This is to ensure that the results of the evaluation will be useful for the institution's own strategic development. The administrative unit together with the research group(s) selects an appropriate benchmark for each of the research group(s).

The Research Council of Norway has commissioned an external evaluation secretariat at Technopolis Group for the implementation of the evaluation process.

Each institution/administrative unit is responsible for following up the recommendations that apply to their own institution/administrative unit. The Research Council will use the results from the evaluation in the development of funding instruments and as a basis for advice to the Government.

The web page for the evaluation of biosciences 2022-2023:

<https://www.forskningsradet.no/en/analysis-numbers/evaluations/subject-theme/biosciences/>

Til innmeldte administrative enheter til
fagevaluering av biovitenskap (EVALBIOVIT)

Vår saksbehandler/tlf.
Hilde D.G. Nielsen/4092 2260

Vår ref.
21/10653
Deres ref.

Oslo,
21.04.2022

Fagevaluering av biovitenskap (EVALBIOVIT) 2022 – 2023

Vi viser til invitasjonsbrev om å delta i fagevaluering av biovitenskap (EVALBIOVIT) datert 11.11.2021 og til informasjonsmøte med innmeldte administrative enheter 15.12.2021.

Porteføljestyret for livsvitenskap vedtok evalueringsprotokollen for fagevaluering av biovitenskap 05.04.2022 (vedlegg 1). Protokollen beskriver roller, prosesser og ansvarsfordeling i evalueringsarbeidet og er i tråd med forslaget til nytt nasjonalt rammeverk for evaluering av forskning og høyere utdanning utarbeidet i regi av Kunnskapsdepartementet.

Forskningsrådet har mottatt innmelding av 37 administrative enheter til EVALBIOVIT. Disse vil bli fordelt på sektorspesifikke evalueringskomitéer: 1-2 evalueringskomité/er for administrative enheter som tilhører instituttsektoren og 1-2 evalueringskomité/er for administrative enheter som tilhører UH-sektor. Universitetsmuseene vil bli evaluert samlet i én evalueringskomité for UH-sektor. Det skal i tillegg opprettes internasjonale fagekspertpaneler etter faglig eller tematisk likhet på tvers av sektorer. Ekspertpanelene skal evaluere forskergruppene som de administrative enhetene melder inn. Evalueringskomitéene og ekspertpanelene skal vurdere de innsamlede dataene og gi anbefalinger til den enkelte institusjon, til Forskningsrådet og til departementene.

Tilpasning av mandat (vedlegg 1)

Forskningsrådet ber med dette administrative enheter om å tilpasse mandatet (vedlegg 1) til de lokale forhold ved egen institusjon. Tilpasningen gjøres ved å fylle inn de åpne punktene i malen (Appendix A). Utfylt skjema sendes på epost til evalbiovit@forskningsradet.no innen 30. september 2022.

Innmelding av forskergrupper (vedlegg 2a og 2b)

Forskningsrådet ber administrative enheter om å melde inn forskergrupper i tråd med forskergruppedefinisjonen beskrevet i kapittel 1.2 i evalueringsprotokollen. Det bes også om at forskergruppene innplasseres i den tentative fagpanelinndelingen for EVALBIOVIT (vedlegg 2a). Utfylt regneark (vedlegg 2b) sendes til evalbiovit@forskningsradet.no innen 31. mai 2022.

Forskningsrådet vil ferdigstille panelstruktur og avgjøre den endelige fordelingen av forskergruppene på fagpaneler etter at alle forskergrupper er meldt inn.

Invitasjon til å foreslå eksperter (vedlegg 3a og 3b)

Forskningsrådet inviterer administrative enheter til å spille inn forslag til eksperter som kan inngå i evalueringskomitéene og i ekspertpanelene (vedlegg 3a). Hver evalueringskomité skal bestå av 7-9 komitémedlemmer. Hvert ekspertpanel skal bestå av 5-7 eksperter. Utfylt regneark (vedlegg 3b, fane 1 og fane 2) sendes til evalbiovit@forskningsradet.no innen 31. mai 2022.

Forskningsrådet v/porteføljestyret for livsvitenskap vil oppnevne leder og medlemmer til evalueringskomitéene og til ekspertpanelene.

Data og datainnsamling

Forskningsrådet har nå ute et oppdrag for analyse av data om personal og forskningsproduksjon. Analysen skal i hovedsak baseres på data i DBH, NIFUs forskerpersonaleregister og Cristin. Analysene vil inkludere indikatorer som skal brukes for evaluering av alle institusjoner.

Videre vil institusjonene få et ansvar for innsamling av data til en egevaluering som skal inngå i vurderingsgrunnlaget for evalueringskomiteene. For å sikre at evalueringen blir nyttig for forskningsinstitusjonenes utvikling, vil Forskningsrådet også invitere institusjonene til å delta i utvelgelse av relevante evalueringsdata og indikatorer som kan danne grunnlag for vurdering opp mot institusjonens egne strategiske mål og sektormål. På bakgrunn av dette har Forskningsrådet en forventning om at institusjonene som deltar i evalueringen stiller med nødvendige ressurser gjennom hele evalueringsprosessen.

Forskningsrådet har, etter en anbudskonkurranse om sekretariatstjenester, inngått en avtale med Technopolis Group som skal bistå Forskningsrådets administrasjon i arbeidet med EVALBIOVIT. Sekretariatet skal blant annet koordinere datainnsamlingen fra institusjonene og systematisere det innsamlede materialet for vurdering i ekspertpaneler og evalueringskomitéer.

Endring av administrativ enhet

For noen få tilfeller kan det være behov for å gjøre noen endringer i forhold til den administrative enheten¹ som allerede er innmeldt til EVALBIOVIT. For eksempel kan et fakultet som ble meldt inn samlet til EVALBIOVIT i desember 2021 finne det mer hensiktsmessig å heller melde inn fakultetets institutter som egne administrative enheter. Hvis man ønsker å endre på den administrative enheten må dette meldes Forskningsrådets administrasjon så fort som mulig, men ikke senere enn 31.05.2022. Melding om endring sendes på epost til: evalbiovit@forskningsradet.no.

Informasjonsmøte 9. mai 2022 og nettside for EVALBIOVIT

Forskningsrådet arrangerer 09.05.2022 kl. 12.00-12.45 et informasjonsmøte for alle som deltar i EVALBIOVIT. Møtet vil foregå digitalt (Zoom). Vi vil i møtet bl.a. gå gjennom evalueringsprotokollen samt at det vil være mulig å stille spørsmål. Påmelding til evalbiovit@forskningsradet.no innen 07.05.2022.

Forskningsrådet har opprette en egen nettside hvor informasjon om EVALBIOVIT vil bli publisert fortløpende. Lenke til nettsiden finner dere her: <https://www.forskningsradet.no/statistikk-evalueringer/biovitenskap-2022-2023/>.

¹ Med administrativ enhet menes en organisatorisk enhet på nivå 2 eller 3 i organisasjonsstrukturen til DBH for UH sektor eller NIFUs organisasjonsregister for institutt- og helsesektoren.

Spørsmål som gjelder fagevalueringen kan sendes på epost til evalbiovit@forskningsradet.no eller ved å kontakte Hilde Dorthea Grindvik Nielsen på epost hgn@forskningsradet.no /mobil 40 92 22 60.

Med vennlig hilsen
Norges forskningsråd

Ole Johan Borge
avdelingsdirektør
Avdeling for helseforskning og helseinnovasjon

Hilde G. Nielsen
spesialrådgiver
Avdeling for helseforskning og helseinnovasjon

Vedlegg

1. Evalueringsprotokoll for fagevaluering av biovitenskap 2022-2023
- 2a. Tentativ fagpanelinndeling for evaluering av forskergrupper
- 2b. Skjema for innmelding av forskergrupper
- 3a. Invitasjon til å foreslå eksperter og informasjon om evalueringskomitéer og ekspertpaneler
- 3b. Skjema for å foreslå eksperter til evalueringskomitéer og ekspertpaneler

Evaluation of life sciences in Norway 2022-2023

LIVSEVAL protocol version 1.0

By decision of the Portfolio board for life sciences April 5., 2022

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1 Introduction

Research assessments based on this protocol serve different aims and have different target groups. The primary aim of the evaluation of life sciences is to reveal and confirm the quality and the relevance of research performed at Norwegian Higher Education Institutions (HEIs), and by the institute sector and regional health authorities and health trusts. These institutions will hereafter be collectively referred to as Research Performing Organisations (RPOs). The assessments should serve a formative purpose by contributing to the development of research quality and relevance at these institutions and at the national level.

1.1 Evaluation units

The assessment will comprise a number of *administrative units* submitted for evaluation by the host institution. By assessing these administrative units in light of the goals and strategies set for them by their host institution, it will be possible to learn more about how public funding is used at the institution(s) to facilitate high-quality research and how this research contributes to society. The administrative units will be assessed by evaluation committees according to sectoral affiliation and/or other relevant similarities between the units.

The administrative units will be invited to submit data on their *research groups* to be assessed by expert panels organised by research subject or theme. See Chapter 3 for details on organisation.

<i>Administrative unit</i>	An administrative unit is any part of an RPO that is recognised as a formal (administrative) unit of that RPO, with a designated budget, strategic goals and dedicated management. It may, for instance, be a university faculty or department, a department of an independent research institute or a hospital.
<i>Research group</i>	Designates groups of researchers within the administrative units that fulfil the minimum requirements set out in section 1.2. Research groups are identified and submitted for evaluation by the administrative unit, which may decide to consider itself a single research group.

1.2 Minimum requirements for research groups

- 1) The research group must be sufficiently large in size, i.e. at least five persons in full-time positions with research obligations. This merely indicates the minimum number, and larger units are preferable. In exceptional cases, the minimum number may include PhD students, postdoctoral fellows and/or non-tenured researchers. *In all cases, a research group must include at least three full-time tenured staff.* Adjunct professors, technical staff and other relevant personnel may be listed as group members but may not be included in the minimum number.

- 2) The research group subject to assessment must have been established for at least three years. Groups of more recent date may be accepted if they have come into existence as a consequence of major organisational changes within their host institution.
- 3) The research group should be known as such both within and outside the institution (e.g. have a separate website). It should be able to document common activities and results in the form of co-publications, research databases and infrastructure, software, or shared responsibilities for delivering education, health services or research-based solutions to designated markets.
- 4) In its self-assessment, the administrative unit should propose a suitable benchmark for the research group. The benchmark will be considered by the expert panels as a reference in their assessment of the performance of the group. The benchmark can be grounded in both academic and extra-academic standards and targets, depending on the purpose of the group and its host institution.

1.3 The evaluation in a nutshell

The assessment concerns:

- research that the administrative unit and its research groups have conducted in the previous 10 years
- the research strategy that the administrative units under evaluation intend to pursue going forward
- the capacity and quality of research in life sciences at the national level

The Research Council of Norway (RCN) will:

- provide a template for the Terms of Reference¹ for the assessment of RPOs and a national-level assessment in life sciences
- appoint members to evaluation committees and expert panels
- provide secretarial services
- commission reports on research personnel and publications based on data in national registries
- take responsibility for following up assessments and recommendations at the national level.

RPOs conducting research in life sciences are expected to take part in the evaluation. The board of each RPO under evaluation is responsible for tailoring the assessment to its own strategies and specific needs and for following them up within their own institution. Each participating RPO will carry out the following steps:

- 1) Identify the administrative unit(s) to be included as the main unit(s) of assessment
- 2) Specify the Terms of Reference by including information on specific tasks and/or strategic goals of relevance to the administrative unit(s)

¹ The terms of reference (ToR) document defines all aspects of how the evaluation committees and expert panels will conduct the [research area] evaluation. It defines the objectives and the scope of the evaluation, outlines the responsibilities of the involved parties, and provides a description of the resources available to carry out the evaluation.

- 3) The administrative unit will, in turn, be invited to register a set of research groups that fulfil the minimum criteria specified above (see section 1.2). The administrative unit may decide to consider itself a single research group.
- 4) For each research group, the administrative unit should select an appropriate benchmark in consultation with the group in question. This benchmark can be a reference to an academic level of performance or to the group's contributions to other institutional or sectoral purposes (see section 2.4). The benchmark will be used as a reference in the assessment of the unit by the expert panel.
- 5) The administrative units subject to assessment must provide information about each of their research groups, and about the administrative unit as a whole, by preparing self-assessments and by providing additional documentation in support of the self-assessment.

1.4 Target groups

- Administrative units represented by institutional management and boards
- Research groups represented by researchers and research group leaders
- Research funders
- Government

The evaluation will result in recommendations to the institutions, the RCN and the ministries. The results of the evaluation will also be disseminated for the benefit of potential students, users of research and society at large.

This protocol is intended for all participants in the evaluation. It provides the information required to organise and carry out the research assessments. Questions about the interpretation or implementation of the protocol should be addressed to the RCN.

2 Assessment criteria

The administrative units are to be assessed on the basis of five assessment criteria. The five criteria are applied in accordance with international standards. Finally, the evaluation committee passes judgement on the administrative units as a whole in qualitative terms. In this overall assessment, the committee should relate the assessment of the specific tasks to the strategic goals that the administrative unit has set for itself in the Terms of Reference.

When assessing administrative units, the committees will build on a separate assessment by expert panels of the research groups within the administrative units. See Chapter 3 'Evaluation process and organisation' for a description of the division of tasks.

2.1 Strategy, resources and organisation

The evaluation committee assesses the framework conditions for research in terms of funding, personnel, recruitment and research infrastructure in relation to the strategic aims set for the administrative unit. The administrative unit should address at least the following five specific aspects in its self-assessment: 1) funding sources, 2) national and international cooperation, 3) cross-sector and interdisciplinary cooperation, 4) research careers and mobility, and 5) Open Science. These five aspects relate to how the unit organises and actually performs its research, its composition in terms of leadership and personnel, and how the unit is run on a day-to-day basis.

To contribute to understanding what the administrative unit can or should change to improve its ability to perform, the evaluation committee is invited to focus on factors that may affect performance.

Further, the evaluation committee assesses the extent to which the administrative unit's goals for the future remain scientifically and societally relevant. It is also assessed whether its aims and strategy, as well as the foresight of its leadership and its overall management, are optimal in relation to attaining these goals. Finally, it is assessed whether the plans and resources are adequate to implement this strategy.

2.2 Research production, quality and integrity

The evaluation committee assesses the profile and quality of the administrative unit's research and the contribution the research makes to the body of scholarly knowledge and the knowledge base for other relevant sectors of society. The committee also assesses the scale of the unit's research results (scholarly publications, research infrastructure developed by the unit, and other contributions to the field) and its contribution to Open Science (early knowledge and sharing of data and other relevant digital objects, as well as science communication and collaboration with societal partners, where appropriate).

The evaluation committee considers the administrative unit's policy for research integrity and how violations of such integrity are prevented. It is interested in how the unit deals with research data, data management, confidentiality (GDPR) and integrity, and the extent to which independent and critical pursuit of research is made possible within the unit. Research integrity relates to both the scientific integrity of conducted research and the professional integrity of researchers.

2.3 Diversity and equality

The evaluation committee considers the diversity of the administrative unit, including gender equality. The presence of differences can be a powerful incentive for creativity and talent development in a diverse administrative unit. Diversity is not an end in itself in that regard, but a tool for bringing together different perspectives and opinions.

The evaluation committee considers the strategy and practices of the administrative unit to prevent discrimination on the grounds of gender, age, disability, ethnicity, religion, sexual orientation or other personal characteristics.

2.4 Relevance to institutional and sectoral purposes

The evaluation committee compares the relevance of the administrative unit's activities and results to the specific aspects detailed in the Terms of Reference for each institution and to the relevant sectoral goals (see below).

Higher Education Institutions

There are 36 Higher Education Institutions in Norway that receive public funding from the Ministry for Education and Research. Twenty-one of the 36 institutions are owned by the ministry, whereas the last 15 are privately owned. The HEIs are regulated under the Act relating to universities and university colleges of 1 August 2005.

The purposes of Norwegian HEIs are defined as follows in the Act relating to universities and university colleges²

- provide higher education at a high international level;
- conduct research and academic and artistic development work at a high international level;
- disseminate knowledge of the institution's activities and promote an understanding of the principle of academic freedom and application of scientific and artistic methods and results in the teaching of students, in the institution's own general activity as well as in public administration, in cultural life and in business and industry.

In line with these purposes, the Ministry for Research and Education has defined four overall goals for HEIs that receive public funding. These goals have been applied since 2015:

- 1) High quality in research and education
- 2) Research and education for welfare, value creation and innovation
- 3) Access to education (esp. capacity in health and teacher education)
- 4) Efficiency, diversity and solidity of the higher education sector and research system

The committee is invited to assess to what extent the research activities and results of each administrative unit have contributed to sectoral purposes as defined above. In particular, the committee is invited to take the share of resources spent on education at the administrative units into account and to assess the relevance and contributions of research to education, focusing on the master's and PhD levels. This assessment should be distinguished from an

² <https://lovdata.no/dokument/NLE/lov/2005-04-01-15?q=universities>

assessment of the quality of education in itself, and it is limited to the role of research in fostering high-quality education.

Research institutes (the institute sector)

Norway's large institute sector reflects a practical orientation of state R&D funding that has long historical roots. The Government's strategy for the institute sector³ applies to the 33 independent research institutes that receive public basic funding through the RCN, in addition to 12 institutes outside the public basic funding system.

The institute sector plays an important and specific role in attaining the overall goal of the national research system, i.e. to increase competitiveness and innovation power to address major societal challenges. The research institutes' contributions to achieving these objectives should therefore form the basis for the evaluation. The main purpose of the sector is to conduct independent applied research for present and future use in the private and public sector. However, some institutes primarily focus on developing a research platform for public policy decisions, others on fulfilling their public responsibilities.

The institutes should:

- maintain a sound academic level, documented through scientific publications in recognised journals
- obtain competitive national and/or international research funding grants
- conduct contract research for private and/or public clients
- demonstrate robustness by having a reasonable number of researchers allocated to each research field

The committee is invited to assess the extent to which the research activities and results of each administrative unit contribute to sectoral purposes and overall goals as defined above. In particular, the committee is invited to assess the level of collaboration between the administrative unit(s) and partners in their own or other sectors.

The hospital sector

There are four regional health authorities (RHF) in Norway. They are responsible for the specialist health service in their respective regions. The RHF are regulated through the Health Enterprises Act of 15 June 2001 and are bound by requirements that apply to specialist and other health services, the Health Personnel Act and the Patient Rights Act. Under each of the regional health authorities, there are several health trusts (HF), which can consist of one or more hospitals. A health trust (HF) is wholly owned by an RHF.

Research is one of the four main tasks of hospital trusts.⁴ The three other main tasks are to ensure good treatment, education and training of patients and relatives. Research is important if the health service is to keep abreast of stay up-to-date with medical developments and carry out critical assessments of established and new diagnostic methods,

³ [Strategy for a holistic institute policy \(Kunnskapsdepartementet 2020\)](#)

⁴ Cf. the Specialist Health Services Act § 3-8 and the Health Enterprises Act §§ 1 and 2

treatment options and technology, and work on quality development and patient safety while caring for and guiding patients.

The committee is invited to assess the extent to which the research activities and results of each administrative unit have contributed to sectoral purposes as described above. The assessment does not include an evaluation of the health services performed by the services.

2.5 Relevance to society

The committee assesses the quality, scale and relevance of contributions targeting specific economic, social or cultural target groups, of advisory reports on policy, of contributions to public debates, and so on. The documentation provided as the basis for the assessment of societal relevance should make it possible to assess relevance to various sectors of society (i.e. business, the public sector, non-governmental organisations and civil society).

When relevant, the administrative units will be asked to link their contributions to national and international goals set for research, including the Norwegian Long-term Plan for Research and Higher Education and the UN Sustainable Development Goals. Sector-specific objectives, e.g. those described in the Development Agreements for the HEIs and other national guidelines for the different sectors, will be assessed as part of criterion 2.4.

The committee is also invited to assess the societal impact of research based on case studies submitted by the administrative units and/or other relevant data presented to the committee. Academic impact will be assessed as part of criterion 2.2.

3 Evaluation process and organisation

The RCN will organise the assessment process as follows:

- Commission a professional secretariat to support the assessment process in the committees and panels, as well as the production of self-assessments within each RPO
- Commission reports on research personnel and publications within life sciences based on data in national registries
- Appoint one or more evaluation committees for the assessment of administrative units.
- Divide the administrative units between the appointed evaluation committees according to sectoral affiliation and/or other relevant similarities between the units.
- Appoint a number of expert panels for the assessment of research groups submitted by the administrative units.
- Divide research groups between expert panels according to similarity of research subjects or themes.
- Task the chairs of the evaluation committees with producing a national-level report building on the assessments of administrative units and a national-level assessments produced by the expert panels.

Committee members and members of the expert panels will be international, have sufficient competence and be able, as a body, to pass judgement based on all relevant assessment criteria. The RCN will facilitate the connection between the assessment levels of panels and committees by appointing committee members as panel chairs.

3.1 Division of tasks between the committee and panel levels

The expert panels will assess research groups across institutions and sectors, focusing on the first two criteria specified in Chapter 2: 'Strategy, resources and organisation' and 'Research production and quality' The assessments from the expert panels will also be used as part of the evidence base for a report on Norwegian research within life sciences (see section 3.3).

The evaluation committees will assess the administrative units based on all the criteria specified in Chapter 2. The assessment of research groups delivered by the expert panels will be a part of the evidence base for the committees' assessments of administrative units. See figure 1 below.

The evaluation committee has sole responsibility for the assessments and any recommendations in the report. The evaluation committee reaches a judgement on the research based on the administrative units and research groups' self-assessments provided by the RPOs, any additional documents provided by the RCN, and interviews with representatives of the administrative units. The additional documents will include a standardised analysis of research personnel and publications provided by the RCN.

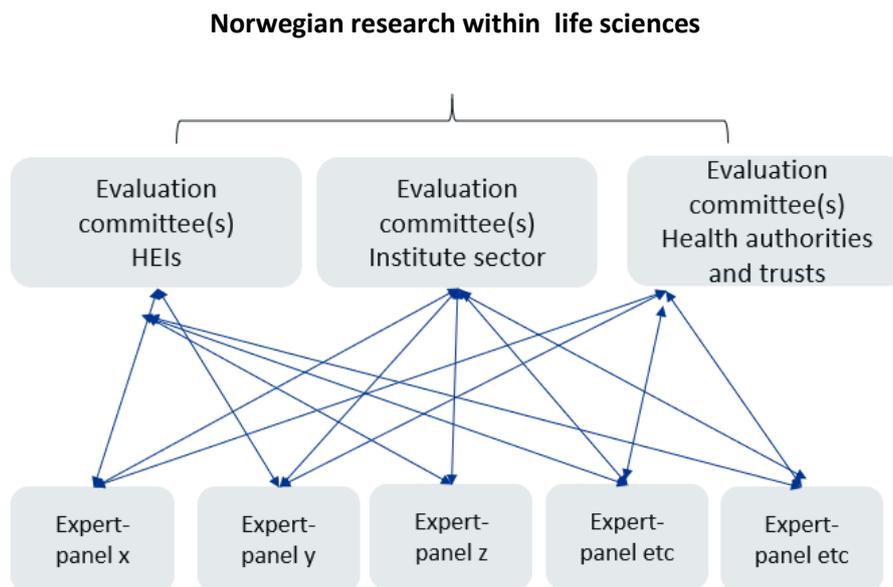


Figure 1. Evaluation committees and expert panels

The evaluation committee takes international trends and developments in science and society into account when forming its judgement. When judging the quality and relevance of the research, the committees shall bear in mind the specific tasks and/or strategic goals that the administrative unit has set for itself including sectoral purposes (see section 2.4 above).

3.2 Accuracy of factual information

The administrative unit under evaluation should be consulted to check the factual information before the final report is delivered to the RCN and the board of the institution hosting the administrative unit.

3.3 National level report

Finally, the RCN will ask the chairs of the evaluation committees to produce a national-level report that builds on the assessments of administrative units and the national-level assessments produced by the expert panels. The committee chairs will present their assessment of Norwegian research in life sciences at the national level in a separate report that pays specific attention to:

- Strengths and weaknesses of the research area in the international context
- The general resource situation regarding funding, personnel and infrastructure
- PhD training, recruitment, mobility and diversity
- Research cooperation nationally and internationally
- Societal impact and the role of research in society, including Open Science

This national-level assessment should be presented to the RCN.

Appendix A: Terms of References (ToR)

[Text in red to be filled in by the Research-performing organisations (RPOs)]

The board of [RPO] mandates the evaluation committee appointed by the Research Council of Norway (RCN) to assess [administrative unit] based on the following Terms of Reference.

Assessment

You are asked to assess the organisation, quality and diversity of research conducted by [administrative unit] as well as its relevance to institutional and sectoral purposes, and to society at large. You should do so by judging the unit's performance based on the following five assessment criteria (a. to e.). Be sure to take current international trends and developments in science and society into account in your analysis.

- a) Strategy, resources and organisation
- b) Research production, quality and integrity
- c) Diversity and equality
- d) Relevance to institutional and sectoral purposes
- e) Relevance to society

For a description of these criteria, see Chapter 2 of the life sciences evaluation protocol. Please provide a written assessment for each of the five criteria. Please also provide recommendations for improvement. We ask you to pay special attention to the following [n] aspects in your assessment:

1. ...
2. ...
3. ...
4. ...
- ...

[To be completed by the board: specific aspects that the evaluation committee should focus on – they may be related to a) strategic issues, or b) an administrative unit's specific tasks.]

In addition, we would like your report to provide a qualitative assessment of [administrative unit] as a whole in relation to its strategic targets. The committee assesses the strategy that the administrative unit intends to pursue in the years ahead and the extent to which it will be capable of meeting its targets for research and society during this period based on available resources and competence. The committee is also invited to make recommendations concerning these two subjects.

Documentation

The necessary documentation will be made available by the **life sciences** secretariat at Technopolis Group.

The documents will include the following:

- a report on research personnel and publications within life sciences commissioned by RCN
- a self-assessment based on a template provided by the life sciences secretariat
- **[to be completed by the board]**

Interviews with representatives from the evaluated units

Interviews with the **[administrative unit]** will be organised by the evaluation secretariat. Such interviews can be organised as a site visit, in another specified location in Norway or as a video conference.

Statement on impartiality and confidence

The assessment should be carried out in accordance with the *Regulations on Impartiality and Confidence in the Research Council of Norway*. A statement on the impartiality of the committee members has been recorded by the RCN as a part of the appointment process. The impartiality and confidence of committee and panel members should be confirmed when evaluation data from **[the administrative unit]** are made available to the committee and the panels, and before any assessments are made based on these data. The RCN should be notified if questions concerning impartiality and confidence are raised by committee members during the evaluation process.

Assessment report

We ask you to report your findings in an assessment report drawn up in accordance with a format specified by the life sciences secretariat. The committee may suggest adjustments to this format at its first meeting. A draft report should be sent to the **[administrative unit]** and RCN by [date]. The **[administrative unit]** should be allowed to check the report for factual inaccuracies; if such inaccuracies are found, they should be reported to the life sciences secretariat no later than two weeks after receipt of the draft report. After the committee has made the amendments judged necessary, a corrected version of the assessment report should be sent to the board of **[the RPO]** and the RCN no later than two weeks after all feedback on inaccuracies has been received from **[administrative unit]**.

Appendix B: Data sources

The lists below shows the most relevant data providers and types of data to be included in the evaluation. Data are categorised in two broad categories according to the data source: National registers and self-assessments prepared by the RFOs. The RCN will commission an analysis of data in national registers (R&D-expenditure, personnel, publications etc.) to be used as support for the committees' assessment of administrative units. The analysis will include a set of indicators related to research personnel and publications.

- **National directorates and data providers**
- Norwegian Directorate for Higher Education and Skills (HK-dir)
- Norwegian Agency for Quality Assurance in Education (NOKUT)
- Norwegian Agency for Shared Services in Education and Research (SIKT)
- Research Council of Norway (RCN)
- Statistics Norway (SSB)

National registers

- 1) R&D-expenditure
 - a. SSB: R&D statistics
 - b. SSB: Key figures for research institutes
 - c. HK-dir: Database for Statistics on Higher Education (DBH)
 - d. RCN: Project funding database (DVH)
 - e. EU-funding: eCorda
- 2) Research personnel
 - a. SSB: The Register of Research personnel
 - b. SSB: The Doctoral Degree Register
 - c. RCN: Key figures for research institutes
 - d. HK-dir: Database for Statistics on Higher Education (DBH)
- 3) Research publications
 - a. SIKT: Cristin - Current research information system in Norway
 - b. SIKT: Norwegian Infrastructure for Bibliometrics
(full bibliometric data incl. citations and co-authors)
- 4) Education
 - a. HK-dir/DBH: Students and study points
 - b. NOKUT: Study barometer
 - c. NOKUT: National Teacher Survey
- 5) Sector-oriented research
 - a. RCN: Key figures for research institutes
- 6) Patient treatments and health care services
 - a. Research & Innovation expenditure in the health trusts
 - b. Measurement of research and innovation activity in the health trusts
 - c. Collaboration between health trusts and HEIs
 - d. Funding of research and innovation in the health trusts
 - e. Classification of medical and health research using HRCS (HO21 monitor)

Self-assessments

- 1) Administrative units
 - a. *Self-assessment covering all assessment criteria*
 - b. Administrative data on funding sources
 - c. Administrative data on personnel
 - d. Administrative data on the division of staff resources between research and other activities (teaching, dissemination etc.)
 - e. Administrative data on research infrastructure and other support structures
 - f. SWOT analysis
 - g. Any supplementary data needed to assess performance related to the strategic goals and specific tasks of the unit

- 2) Research groups
 - a. *Self-assessment covering the first two assessment criteria (see Table 1)*
 - b. Administrative data on funding sources
 - c. Administrative data on personnel
 - d. Administrative data on contribution to sectoral purposes: teaching, commissioned work, clinical work [will be assessed at committee level]
 - e. Publication profiles
 - f. Example publications and other research results (databases, software etc.)
The examples should be accompanied by an explanation of the groups' specific contributions to the result
 - g. Any supplementary data needed to assess performance related to the benchmark defined by the administrative unit

The table below shows how different types of evaluation data may be relevant to different evaluation criteria. Please note that the self-assessment produced by the administrative units in the form of a written account of management, activities, results etc. should cover all criteria. A template for the self-assessment of research groups and administrative units will be commissioned by the RCN from the life sciences secretariat for the evaluation.

Table 1. Types of evaluation data per criterion

<div style="text-align: right;">Evaluation units</div> <div style="text-align: left;">Criteria</div>	Research groups	Administrative units
Strategy, resources and organisation	Self-assessment Administrative data	Self-assessment National registers Administrative data SWOT analysis
Research production and quality	Self-assessment Example publications (and other research results)	Self-assessment National registers
Diversity, equality and integrity		Self-assessment National registers Administrative data
Relevance to institutional and sectoral purposes		Self-assessment Administrative data
Relevance to society		Self-assessment National registers Impact cases
Overall assessment	<i>Data related to: Benchmark defined by administrative unit</i>	<i>Data related to: Strategic goals and specific tasks of the admin. unit</i>



The Research Council
of Norway

EVALBIOVIT

Self-assessment for administrative
units

Version 1.2

Overview

Institution (name and short name):

Administrative unit (name and short name):

Date:

Contact person:

Contact details (email):

1 Introduction

The primary aim of the evaluation is to reveal and confirm the quality and the relevance of research performed at Norwegian Higher Education Institutions (HEIs), and by the institute sector. For the life sciences area, research undertaken by regional health authorities and health trusts is also included. These institutions will henceforth be collectively referred to as research performing organisations (RPOs). The evaluation report(s) will provide a set of recommendations to the RPOs, the Research Council of Norway (RCN) and the concerned ministries. The results of the evaluation will also be disseminated for the benefit of potential students, users of research, and society at large.

You have been invited to complete this self-assessment as an administrative unit. The self-assessment contains questions regarding the unit's research- and innovation related activities and developments over the past 10 years. All the submitted data will be evaluated by evaluation committees (for administrative units) and expert panels (for research groups). Please read through the whole document including all instructions before answering the questions to avoid overlaps.

As an administrative unit, you are also responsible for collecting the completed self-assessment for each of the research groups that belong to the unit. The research groups need to submit their completed self- assessment to the unit no later than the 1st of December 2022. The unit will submit the research groups' completed self-assessments and the unit's own completed self-assessment no later than the 5th of December 2022.

The whole self-assessment shall be written in English.

Please use the following format when naming your document: name of the institution, and name of the administrative unit, e.g. UiO_FacBiosci. Send it to evalbiovit@technopolis-group.com no later than 5th of December 2022.

For questions concerning the self-assessment or EVALBIOVIT in general, please contact RCN's evaluation secretariat at Technopolis Group: evalbiovit.questions@technopolis-group.com.

Many thanks in advance!

¹ Personal information will be deleted when evaluation reports are published and no later than 30 April 2024

For more information on how Technopolis Group handles data processing, see: <http://www.technopolis-group.com/privacy-policy/>

For more information on how the Research Council of Norway handles data processing, see: <https://www.forskingsradet.no/en/privacy-policy/>

2 Self-assessment for administrative units

Self-assessment guidelines:

- Data on personnel should refer to reporting to DBH on 1 October 2021 for HEIs and to the yearly reporting for 2021 for the institute sector
- Other data should refer to 31 December 2021 if not specified otherwise
- Please read the entire self-assessment document before answering
- Provide information – provide documents and other relevant data or figures about the administrative unit, for example strategy and other planning documents, as well as data on R&D expenditure, sources of income and results and outcomes of research
- Describe – explain and present using contextual information about the administrative unit (most often this includes filling out specific forms) and inform the reader about the administrative unit
- Reflect – comment in a reflective and evaluative manner how the administrative unit operates
- 4000 characters including spaces equals one page

2.1 Strategy, resources and organisation of research

2.1.1 Research strategy

- 2.1.1.1 Describe the main strategic goals for research and innovation of the administrative unit (1000–4000 characters). How are these goals related to institutional strategies?
- Describe the main fields and focus of research and innovation in the unit
 - Describe how you work to maximise synergies between the different purposes of the unit
 - Describe the planned research-field impact; planned policy impact and planned societal impact
 - Describe how the strategy is followed-up in the allocation of resources and other measures
 - Describe the most important occasions where priorities are made (i.e., announcement of new positions, applying for external funding, following up on evaluations)
 - If there is no long-term research strategy – explain why

Form 1 Administrative unit's strategic planning documents

Instructions: For each category (Research strategy, Research funding, Cooperation policy, Open science policy) present up to 5 documents that according to you are the most relevant. If the administrative unit uses the strategies, policies, etc. of a larger institution, then present these documents. Please use the following formatting: Name of document, Years active, Link to the document.

Example: Norwegian University of Science and Technology Strategy, 2021–2025, [hyperlink to the document](#)

2.1.2 Organisation of research

- 2.1.2.1 Describe the organisation of research and innovation activities at the unit, including how responsibilities for research and other purposes (education, knowledge exchange, patient treatment, training etc) are distributed and delegated (500–1500 characters).

Form 2 SWOT analysis for administrative units

Instructions: Please complete a SWOT analysis for your administrative unit. Reflect on what are the major internal Strengths and Weaknesses as well as external Threats and Opportunities for your research and innovation activities and research environment. Assess what the present Strengths enable in the future and what kinds of Threats are related to the Weaknesses. Consider your scientific expertise and achievements, funding, facilities, organisation and management (500–2000 characters per cell).

2.1.3 Research funding

- 2.1.3.1 Describe the funding sources of the unit and indicate the share of the unit's budget (NOK) dedicated to research compared to other purposes. Shares may be calculated based on full time equivalents (FTE) allocated to research compared to total FTE in unit (500–1500 characters).
- 2.1.3.2 Describe how successful the administrative unit has been in obtaining competitive regional, national and/or international research funding grants (200–1000 characters).

Form 3 Funding levels for the administrative unit for 2021

Instructions: For administrative units in the institute sector receiving basic funding via RCN, funding levels should be provided for 2021 in the funding categories used in the yearly reporting:

- a) National grants (NOK) (post 1.1 og 1.2):
 - i) from the Research Council of Norway (NOK) – excluding basic funding
 - ii) from the ministries and underlying directorates (NOK)
 - iii) from industry (NOK)
 - iv) other national grants including third sector, private associations and foundations (NOK)
- b) National contract research (post 1.3)
- c) International grants (post 1.4)
- d) Funding related to public management (forvaltningsoppgaver post 1.5)

For Higher Education Institutions costs covered by external funding sources should be reported according to the same categories as far as possible. Costs may be classified as Other if they cannot be placed in one of the specified categories. Reporting should be based on incurred costs (regnskapstall) for 2021.

2.1.4 Participation in national infrastructures

- 2.1.4.1 Describe the most important participation in the national infrastructures listed in the Norwegian roadmap for research infrastructures (Nasjonalt veikart for forskningsinfrastruktur) including as host institution(s) (200–1000 characters).

Form 4 Infrastructures listed in the Norwegian roadmap for research infrastructures (Nasjonalt veikart for forskningsinfrastruktur)

Instructions: Please present up to 5 participations in the national infrastructures listed in the Norwegian roadmap for research infrastructures (Nasjonalt veikart for forskningsinfrastruktur) for each area that were the most important to your administrative unit. For each category area, please use the following formatting:

Name of research infrastructure, Years when used, Description (100–500 characters) of the engagement with the research infrastructure (reasoning, objectives, expected/actual outcomes).

² Excluding basic funding.

³ For research institutes only research activities should be included from section 1.3 in the yearly reporting

- 2.1.4.2 Describe the most important participation in the international infrastructures funded by the ministries (Norsk deltakelse i internasjonale forskningsorganisasjoner finansiert av departementene) (200–1000 characters).

Form 5 Participation in international research organisations

Instructions: Please describe up to 5 participations in international and European infrastructures (ESFRI) for each area that have been most important to your research unit. When presenting your participation, please use the following formatting:

Name of research infrastructure, Years when used, Description (100–500 characters) of the participation in the research infrastructure (reasoning, objectives, expected/actual outcomes).

2.1.4.3 Describe the most important participation in European (ESFRI) infrastructures (Norske medlemskap i infrastrukturer i ESFRI roadmap) including as host institution(s) (200–1000 characters).

Form 6 Participation in infrastructures on the ESFRI Roadmap

Instructions: For each area, please give a description of up to 5 engagements that have been most important to your research unit. When presenting your participation, please use the following formatting: Name of research infrastructure, Years when used, Description (100–500 characters) of the engagement with the research infrastructure (reasoning, objectives, expected/actual outcomes)."

2.1.5 Accessibility to research infrastructures

2.1.5.1 Describe the accessibility to research infrastructures for your researchers. Considering both physical and electronic infrastructure (200–1000 characters).

2.1.5.2 Describe what is done at the unit to fulfil the FAIR-principles⁴ (200–1000 characters).

2.1.6 Research staff

2.1.6.1 Describe the profile of research personnel at the unit in terms of position and gender (200–1000 characters).

Form 7 Administrative data on the division of staff resources for 2021

2.1.6.2 Describe the structures and practices to foster researcher careers and help early-career researchers to make their way into the profession (200–1000 characters).

2.1.6.3 Describe how research time is distributed among staff including criteria for research leave (forskningsfri) (200–1000 characters).

2.1.6.4 Describe research mobility options (200–1000 characters).

2.2 Research production, quality, and integrity

2.2.1 Research quality and integrity

2.2.1.1 Describe the scientific focus areas of the research conducted at the administrative unit, including the unit's contribution to these areas (500–2000 characters).

2.2.1.2 Describe the unit's policy for research integrity, including preventative measures when integrity is at risk, or violated (200–1000 characters).⁵

2.2.2 Open Science policies at the administrative unit

2.2.2.1 Describe the institutional policies, approaches, and activities to the following Open Science areas (consider each area separately, 500–1000 characters in total):

- Open access to publications
- Open access to research data and implementation of FAIR data principles
- Open-source software/tools
- Open access to educational resources
- Open peer review
- Skills and training for Open Science
- Citizen science and/or involvement of stakeholders / user groups

2.2.2.2 Describe the most important contributions and impact of the unit's researchers towards the different Open Science areas (consider each area separately, 500–1000 characters in total):

- Open access to publications
- Open access to research data and implementation of FAIR data principles
- Open-source software/tools
- Open access to educational resources
- Open peer review
- Skills and training for Open Science
- Citizen science and/or involvement of stakeholders/user groups

2.2.2.3 Describe the institutional policy regarding ownership of research data, data management, and confidentiality (200–1000 characters). Is the use of data management plans implemented at the unit?

2.3 Diversity and equality

2.3.1 Diversity and equality practices

2.3.1.1 Describe the policy and practices to protect against any form of discrimination in the administrative unit (200–1000 characters).

Form 8 Administrative unit's policies against discrimination

Instructions: Give a description of up to 5 documents that are the most relevant. If the administrative unit uses the strategies, policies, etc. of a larger institution, then these documents should be referred to. For each document use the following formatting: Name of document, Years active, Link to the document

Example: Norwegian University of Science and Technology Strategy, 2021–2025, [hyperlink to the document](#)

2.4 Relevance to institutional and sectorial purposes

2.4.1 Sector specific impact

2.4.1.1 Describe whether the administrative unit has activities aimed at achieving sector-specific objectives⁶ or focused on contributing to the knowledge base in general. Describe activities connected to sector-specific objectives, the rationale for participation and achieved and/or expected impacts (500–3000 characters).

- Alternatively, describe whether the activities of the unit are aimed at contribution to the knowledge base in general. Describe the rationale for this approach and the impacts of the unit's work to the knowledge base.

2.4.2 Research innovation and commercialisation

2.4.2.1 Describe the administrative unit's practices for innovation and commercialisation (500–1500 characters).

- Describe the interest among the research staff in doing innovation and commercialisation activities
- Describe how innovation and commercialisation is supported at the unit

Form 9 Administrative unit's policies for research innovation

Instructions: Describe up to 5 documents of the administrative unit's policies for research innovation, including IP policies, new patents, licenses, start-up/spin-off guidelines, etc., that are the most relevant. If the administrative unit uses the strategies, policies, etc. of a larger institution, then present these documents. For each document use the following formatting: Name of document, Years active, Link to the document

Example: Norwegian University of Science and Technology Strategy, 2021–2025, [hyperlink to the document](#)

2.4.2.2 Provide examples of successful innovation and commercialisation results, such as new patents, licenses, etc (500–1500 characters).

Form 10 Administrative description of successful innovation and commercialisation results

Instructions: Please describe up to 10 successful innovation and commercialisation results at your administrative unit. For each result, please use the following formatting: Name of innovation and commercial results, Year, Links to relevant documents, articles, etc. that present the result, Description (100–500 characters) of successful innovation and commercialisation result.

2.4.3 Collaboration

2.4.3.1 Describe the unit's policy towards regional, national and international collaboration, as well as how cross-sectorial collaboration and interdisciplinary collaboration is approached at the administrative unit (500–1500 characters). Please fill out the forms that match your institution: the institute sector fills out Form 11a and Form 11b; HEIs fill out Form 12.

- Reflect on how successful the unit have been in meeting its aspirations for collaborations

Form 11a (institute sector) Administrative unit's partnerships ('faktisk samarbeid')

Instructions: For each of the administrative unit's tender and project-based cooperation (which are not tax deducted) please present up to 5 examples under each category (Collaboration with national public institutions; Collaboration with national private institutions; Collaboration with international public institutions; Collaboration with international private institutions). Please use 100– 500 characters to describe the impacts and relevance of collaboration.

Form 11b (institute sector) Administrative unit's collaboration

Instructions: For each of the administrative unit's tender and project-based cooperation please present up to 5 examples under each category (Collaboration with academic partners nationally; Collaboration with non-academic partners nationally; Collaboration with academic partners internationally; Collaboration with non-academic partners internationally). Please use 100–500 characters to describe the impacts and relevance of collaboration.

2.4.3.2 Reflect on the importance of different types of collaboration for the administrative unit (200–1000 characters).

- Regional, national and international collaborations
Collaborations with different sectors, including public, private and third sector

Form 12 (HEIs) Administrative unit's partnerships" ('faktisk samarbeid')

Instructions: For each of the administrative unit's tender and project-based cooperation (which are not tax deducted) please present up to 5 examples under each category (Collaboration with national public institutions; Collaboration with national private institutions; Collaboration with international public institutions; Collaboration with international private institutions). Please use 100– 500 characters to describe the impacts and relevance of collaboration.

2.4.3.3 Reflect on the importance of different types of collaboration for the administrative unit, the added value of these collaborations to the administrative unit and Norwegian research system (500–1500 characters).

2.4.4 ONLY for higher education institutions

- 2.4.4.1 Reflect on how research at the unit contributes towards master and PhD-level education provision, at your institutions and beyond (200–1000 characters).⁷
- 2.4.4.2 Describe the opportunities for master and bachelor students to become involved in research activities at the unit (200–1000 characters).

2.4.5 ONLY for research institutes

- 2.4.5.1 Describe how the research activities at the administrative unit contribute to the knowledge base for policy development, sustainable development, and societal and industrial transformations more generally (500–1500 characters).⁸
- 2.4.5.2 Describe the most important research activities including those with partners outside of research organisations (500–1500 characters).

2.5 Relevance to society

2.5.1 Administrative unit's societal impact

- 2.5.1.1 Reflect on the unit's contribution towards the Norwegian Long-term plan for research and higher education, societal challenges more widely, and the UN Sustainable Development Goals (500–1500 characters).
- 2.5.1.2 Describe how the administrative unit's research and innovation has contributed to economic, societal and cultural development by submitting one to five impact cases depending on the size of the unit. For up to 10 researchers: one case; for 10 to 30 researchers: two cases; for 30-50 researchers: three cases; for 50-100 researchers: four cases, and up to five cases for units exceeding 100 researchers. Please use the attached template for impact cases. Each impact case will be submitted as an attachment to the self-evaluation. Institutions that submit impact cases do not have to fill in the box below.

Case no. 1

Thank you for completing the self-assessment.

⁷ Please note: RCN will provide data from the national student survey (Studiebarometeret) on students' experience with research methods and exposure to research activities. The data will most probably be on an aggregate level but including the unit under assessment.

⁸ Strategi for helhetlig instituttpolitikk, Kunnskapsdepartementet, p.4): «Instituttsektoren skal utvikle kunnskapsgrunnlag for politikktutforming og bidra til bærekraftig utvikling og omstilling, gjennom forskning av høy kvalitet og relevans.» ([The government's strategy for an independent institute sector](#)).



Scales for research group assessment

Organisational dimension

Score	Organisational environment
5	An organisational environment that is outstanding for supporting the production of excellent research.
4	An organisational environment that is very strong for supporting the production of excellent research.
3	An organisational environment that is adequate for supporting the production of excellent research.
2	An organisational environment that is modest for supporting the production of excellent research.
1	An organisational environment that is not supportive for the production of excellent research.

Quality dimension

Score	Research and publication quality	Score	Research group's contribution Groups were invited to refer to the Contributor Roles Taxonomy in their description https://credit.niso.org/
5	Quality that is outstanding in terms of originality, significance and rigour.	5	The group has played an outstanding role in the research process from the formulation of overarching research goals and aims via research activities to the preparation of the publication.
4	Quality that is internationally excellent in terms of originality, significance and rigour but which falls short of the highest standards of excellence.	4	The group has played a very considerable role in the research process from the formulation of overarching research goals and aims via research activities to the preparation of the publication.
3	Quality that is recognised internationally in terms of originality, significance and rigour.	3	The group has a considerable role in the research process from the formulation of overarching research goals and aims via research activities to the preparation of the publication.
2	Quality that meets the published definition of research for the purposes of this assessment.	2	The group has modest contributions to the research process from the formulation of overarching research goals and aims via research activities to the preparation of the publication.
1	Quality that falls below the published definition of research for the purposes of this assessment.	1	The group or a group member is credited in the publication, but there is little or no evidence of contributions to the research process from the formulation of overarching research goals and aims via research activities to the preparation of the publication.

Societal impact dimension

Score	Research group's societal contribution, taking into consideration the resources available to the group	Score	User involvement
5	The group has contributed extensively to economic, societal and/or cultural development in Norway and/or internationally.	5	Societal partner involvement is outstanding – partners have had an important role in all parts of the research process, from problem formulation to the publication and/or process or product innovation.
4	The group's contribution to economic, societal and/or cultural development in Norway and/or internationally is very considerable given what is expected from groups in the same research field.	4	Societal partners have very considerable involvement in all parts of the research process, from problem formulation to the publication and/or process or product innovation.
3	The group's contribution to economic, societal and/or cultural development in Norway and/or internationally is on par with what is expected from groups in the same research field.	3	Societal partners have considerable involvement in the research process, from problem formulation to the publication and/or process or product innovation.
2	The group's contribution to economic, societal and/or cultural development in Norway and/or internationally is modest given what is expected from groups in the same research field.	2	Societal partners have a modest part in the research process, from problem formulation to the publication and/or process or product innovation.
1	There is little documentation of contributions from the group to economic, societal and/or cultural development in Norway and/or internationally.	1	There is little documentation of societal partners' participation in the research process, from problem formulation to the publication and/or process or product innovation.

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